Docket No. AUS920031060US1

ARCHITECTURE AND METHOD FOR MANAGING THE SHARING OF LOGICAL RESOURCES AMONG SEPARATE PARTITIONS OF A LOGICALLY PARTITIONED COMPUTER SYSTEM

BACKGROUND OF THE INVENTION

1. Technical Field:

The present invention relates to data processing and, in particular, to logically partitioned data processing systems. Still more particularly, the present invention provides a method, apparatus, and program for sharing logical resources among separate partitions in a logically partitioned data processing system.

2. Description of Related Art:

Large symmetric multi-processor data processing systems, such as IBM eServer P690, available from International Business Machines Corporation, DHP9000 Superdome Enterprise Server, available from Hewlett-Packard Company, and the Sunfire 15K server, available from Sun Microsystems, Inc. may be partitioned and used as multiple smaller systems. These systems are often referred to as logical partitioned (LPAR) data processing systems. A logical partitioned functionality within a data processing system allows multiple copies of a single operating system or multiple heterogeneous operating systems to be simultaneously run on a single data processing system platform. A partition, within which an operating system image runs, is assigned a non-overlapping subset of the platform's physical resources.

These platform allocable resources include one or more architecturally distinct processors with their interrupt management area, regions of system memory, and input/output (I/O) adapter bus slots. The partition's resources are represented by the platform's firmware to the operating system image.

Each distinct operating system or image of an operating system running within a platform is protected from each other such that software errors on one logical partition cannot affect the correct operation of any of the other partitions. This protection is provided by allocating a disjointed set of platform resources to be directly managed by each operating system image and by providing mechanisms for insuring that the various images cannot control any resources that have not been allocated to that image. Furthermore, software errors in the control of an operating system's allocated resources are prevented from affecting the resources of any other image. Thus, each image of the operating system or each different operating system directly controls a distinct set of allocable resources within the platform.

With respect to hardware resources in a logical partitioned data processing system, these resources are disjointly shared among various partitions. These resources may include, for example, input/output (I/O) adapters, memory modules, non-volatile random access memory (NVRAM), and hard disk drives. Each partition within an LPAR data processing system may be booted and shut down over and over without having to power-cycle the entire data processing system.

Docket No. AUS920031060US1

SUMMARY OF THE INVENTION

The present invention provides a mechanism for sharing resources among logical partitions in a logical partitioned data processing system and for managing the changes to resources in such a way that the sharing operating systems are able to handle the various transitions in a graceful manner. The present invention provides four hypervisor functions plus a specific return code to manage the granting of access of resources owned by one partition to another (client) partition, accepting of granted resources by client partitions, returning of granted resources by client partitions, and rescinding of access by the owning partition. These four hypervisor functions are invoked either explicitly by the owning and client partitions or automatically by the hypervisor in response to partition termination. The hypervisor functions provide the needed infrastructure to manage the sharing of logical resources among partitions.

BRIEF DESCRIPTION OF THE DRAWINGS

The novel features believed characteristic of the invention are set forth in the appended claims. The invention itself, however, as well as a preferred mode of use, further objectives and advantages thereof, will best be understood by reference to the following detailed description of an illustrative embodiment when read in conjunction with the accompanying drawings, wherein:

Figure 1 is a block diagram of a data processing system in which the present invention may be implemented;

Figure 2 is a block diagram of an exemplary logical partitioned platform in which the present invention may be implemented;

Figures 3A-3C illustrate shared logical resource state transitions in accordance with a preferred embodiment of the present invention;

Figure 4 illustrates an implementation of shared logical resource management in accordance with an exemplary embodiment of the present invention; and

Figures 5A-5D are flowcharts illustrating the operation of shared logical resource management in accordance with an exemplary embodiment of the present invention.

Docket No. AUS920031060US1

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

With reference now to the figures, and in particular with reference to **Figure 1**, a block diagram of a data processing system in which the present invention may be implemented is depicted. Data processing system **100** may be a symmetric multiprocessor (SMP) system including a plurality of processors **101**, **102**, **103**, and **104** connected to system bus **106**. For example, data processing system **100** may be an IBM eServer, a product of International Business Machines Corporation in Armonk, New York, implemented as a server within a network. Alternatively, a single processor system may be employed. Also ' connected to system bus **106** is memory controller/cache **108**, which provides an interface to a plurality of local memories **160-163**. I/O bus bridge **110** is connected to system bus **106** and provides an interface to I/O bus **112**. Memory controller/cache **108** and I/O bus bridge **110** may be integrated as depicted.

Data processing system **100** is a logical partitioned (LPAR) data processing system. Thus, data processing system **100** may have multiple heterogeneous operating systems (or multiple instances of a single operating system) running simultaneously. Each of these multiple operating systems may have any number of software programs executing within it. Data processing system **100** is logically partitioned such that different PCI I/O adapters **120-121**, **128-129**, and **136**, graphics adapter **148**, and hard disk adapter **149** may be assigned to different logical partitions. In this case, graphics adapter **148**

Docket No. AUS920031060US1

provides a connection for a display device (not shown),
while hard disk adapter **149** provides a connection to
control hard disk **150**.

Thus, for example, suppose data processing system
100 is divided into three logical partitions, P1, P2, and
P3. Each of PCI I/O adapters **120-121**, **128-129**, **136**,
graphics adapter **148**, hard disk adapter **149**, each of host
processors **101-104**, and memory from local memories **160-
163** is assigned to one of the three partitions. In these
examples, memories **160-163** may take the form of dual in-
line memory modules (DIMMs). DIMMs are not normally
assigned on a per DIMM basis to partitions. Instead, a
partition will get a portion of the overall memory seen
by the platform. For example, processor **101**, some
portion of memory from local memories **160-163**, and I/O
adapters **120**, **128**, and **129** may be assigned to logical
partition P1; processors **102-103**, some portion of memory
from local memories **160-163**, and PCI I/O adapters **121** and
136 may be assigned to partition P2; and processor **104**,
some portion of memory from local memories **160-163**,
graphics adapter **148** and hard disk adapter **149** may be
assigned to logical partition P3.

Each operating system executing within data
processing system **100** is assigned to a different logical
partition. Thus, each operating system executing within
data processing system **100** may access only those I/O
units that are within its logical partition. Thus, for
example, one instance of the Advanced Interactive
Executive (AIX) operating system may be executing within
partition P1, a second instance (image) of the AIX

Docket No. AUS920031060US1

operating system may be executing within partition P2, and a Windows XP operating system may be operating within logical partition P3. Windows XP is a product and trademark of Microsoft Corporation of Redmond, Washington.

Peripheral component interconnect (PCI) host bridge 114 connected to I/O bus 112 provides an interface to PCI local bus 115. A number of PCI input/output adapters 120-121 may be connected to PCI bus 115 through PCI-to-PCI bridge 116, PCI bus 118, PCI bus 119, I/O slot 170, and I/O slot 171. PCI-to-PCI bridge 116 provides an interface to PCI bus 118 and PCI bus 119. PCI I/O adapters 120 and 121 are placed into I/O slots 170 and 171, respectively. Typical PCI bus implementations will support between four and eight I/O adapters (i.e. expansion slots for add-in connectors). Each PCI I/O adapter 120-121 provides an interface between data processing system 100 and input/output devices such as, for example, other network computers, which are clients to data processing system 100.

An additional PCI host bridge 122 provides an interface for an additional PCI bus 123. PCI bus 123 is connected to a plurality of PCI I/O adapters 128-129. PCI I/O adapters 128-129 may be connected to PCI bus 123 through PCI-to-PCI bridge 124, PCI bus 126, PCI bus 127, I/O slot 172, and I/O slot 173. PCI-to-PCI bridge 124 provides an interface to PCI bus 126 and PCI bus 127. PCI I/O adapters 128 and 129 are placed into I/O slots 172 and 173, respectively. In this manner, additional I/O devices, such as, for example, modems or network adapters

may be supported through each of PCI I/O adapters **128-129**. In this manner, data processing system **100** allows connections to multiple network computers.

A memory mapped graphics adapter **148** inserted into I/O slot **174** may be connected to I/O bus **112** through PCI bus **144**, PCI-to-PCI bridge **142**, PCI bus **141** and PCI host bridge **140**. Hard disk adapter **149** may be placed into I/O slot **175**, which is connected to PCI bus **145**. In turn, this bus is connected to PCI-to-PCI bridge **142**, which is connected to PCI host bridge **140** by PCI bus **141**.

A PCI host bridge **130** provides an interface for a PCI bus **131** to connect to I/O bus **112**. PCI I/O adapter **136** is connected to I/O slot **176**, which is connected to PCI-to-PCI bridge **132** by PCI bus **133**. PCI-to-PCI bridge **132** is connected to PCI bus **131**. This PCI bus also connects PCI host bridge **130** to the service processor mailbox interface and ISA bus access pass-through logic **194** and PCI-to-PCI bridge **132**. Service processor mailbox interface and ISA bus access pass-through logic **194** forwards PCI accesses destined to the PCI/ISA bridge **193**. NVRAM storage **192** is connected to the ISA bus **196**. Service processor **135** is coupled to service processor mailbox interface and ISA bus access pass-through logic **194** through its local PCI bus **195**. Service processor **135** is also connected to processors **101-104** via a plurality of JTAG/I^2C busses **134**. JTAG/I^2C busses **134** are a combination of JTAG/scan busses (see IEEE 1149.1) and Phillips I^2C busses. However, alternatively, JTAG/I^2C busses **134** may be replaced by only Phillips I^2C busses or only JTAG/scan busses. All SP-ATTN signals of the host

Docket No. AUS920031060US1

processors **101**, **102**, **103**, and **104** are connected together
to an interrupt input signal of the service processor.
The service processor **135** has its own local memory **191**,
and has access to the hardware OP-panel **190**.

When data processing system **100** is initially powered
up, service processor **135** uses the JTAG/I^{2}C busses **134** to
interrogate the system (host) processors **101-104**, memory
controller/cache **108**, and I/O bridge **110**. At completion
of this step, service processor **135** has an inventory and
topology understanding of data processing system **100**.
Service processor **135** also executes Built-In-Self-Tests
(BISTs), Basic Assurance Tests (BATs), and memory tests
on all elements found by interrogating the host
processors **101-104**, memory controller/cache **108**, and I/O
bridge **110**. Any error information for failures detected
during the BISTs, BATs, and memory tests are gathered and
reported by service processor **135**.

If a meaningful/valid configuration of system
resources is still possible after taking out the elements
found to be faulty during the BISTs, BATs, and memory
tests, then data processing system **100** is allowed to
proceed to load executable code into local (host)
memories **160-163**. Service processor **135** then releases
host processors **101-104** for execution of the code loaded
into local memory **160-163**. While host processors **101-104**
are executing code from respective operating systems
within data processing system **100**, service processor **135**
enters a mode of monitoring and reporting errors. The
type of items monitored by service processor **135** include,
for example, the cooling fan speed and operation, thermal

Docket No. AUS920031060US1

sensors, power supply regulators, and recoverable and non-recoverable errors reported by processors **101-104**, local memories **160-163**, and I/O bridge **110**.

Service processor **135** is responsible for saving and reporting error information related to all the monitored items in data processing system **100**. Service processor **135** also takes action based on the type of errors and defined thresholds. For example, service processor **135** may take note of excessive recoverable errors on a processor's cache memory and decide that this is predictive of a hard failure. Based on this determination, service processor **135** may mark that resource for deconfiguration during the current running session and future Initial Program Loads (IPLs). IPLs are also sometimes referred to as a "boot" or "bootstrap".

Data processing system **100** may be implemented using various commercially available computer systems. For example, data processing system **100** may be implemented using IBM eServer iSeries Model 840 system available from International Business Machines Corporation. Such a system may support logical partitioning using an OS/400 operating system, which is also available from International Business Machines Corporation.

Those of ordinary skill in the art will appreciate that the hardware depicted in **Figure 1** may vary. For example, other peripheral devices, such as optical disk drives and the like, also may be used in addition to or in place of the hardware depicted. The depicted example

is not meant to imply architectural limitations with respect to the present invention.

With reference now to **Figure 2**, a block diagram of an exemplary logical partitioned platform is depicted in which the present invention may be implemented. The hardware in logical partitioned platform **200** may be implemented as, for example, data processing system **100** in **Figure 1**. Logical partitioned platform **200** includes partitioned hardware **230**, operating systems **202**, **204**, **206**, **208**, and hypervisor **210**. Operating systems **202**, **204**, **206**, and **208** may be multiple copies of a single operating system or multiple heterogeneous operating systems simultaneously run on platform **200**. These operating systems may be implemented using OS/400, which are designed to interface with a hypervisor. Operating systems **202**, **204**, **206**, and **208** are located in partitions **203**, **205**, **207**, and **209**.

Additionally, these partitions also include firmware loaders **211**, **213**, **215**, and **217**. Firmware loaders **211**, **213**, **215**, and **217** may be implemented using IEEE-1275 Standard Open Firmware and runtime abstraction software (RTAS), which is available from International Business Machines Corporation. When partitions **203**, **205**, **207**, and **209** are instantiated, a copy of the open firmware is loaded into each partition by the hypervisor's partition manager. The processors associated or assigned to the partitions are then dispatched to the partition's memory to execute the partition firmware.

Partitioned hardware **230** includes a plurality of processors **232-238**, a plurality of system memory units

Docket No. AUS920031060US1

240-246, a plurality of input/output (I/O) adapters 248-262, and a storage unit 270. Partitioned hardware 230 also includes service processor 290, which may be used to provide various services, such as processing of errors in the partitions. Each of the processors 232-238, memory units 240-246, NVRAM storage 298, and I/O adapters 248-262 may be assigned to one of multiple partitions within logical partitioned platform 200, each of which corresponds to one of operating systems 202, 204, 206, and 208.

Hypervisor firmware 210 performs a number of functions and services for partitions 203, 205, 207, and 209 to create and enforce the partitioning of logical partitioned platform 200. Hypervisor 210 is a firmware implemented virtual machine identical to the underlying hardware. Hypervisor software is available from International Business Machines Corporation. Firmware is "software" stored in a memory chip that holds its content without electrical power, such as, for example, read-only memory (ROM), programmable ROM (PROM), erasable programmable ROM (EPROM), electrically erasable programmable ROM (EEPROM), and nonvolatile random access memory (nonvolatile RAM). Thus, hypervisor 210 allows the simultaneous execution of independent OS images 202, 204, 206, and 208 by virtualizing all the hardware resources of logical partitioned platform 200.

Operations of the different partitions may be controlled through a hardware management console, such as hardware management console 280. Hardware management console 280 is a separate data processing system from

Docket No. AUS920031060US1

which a system administrator may perform various functions including reallocation of resources to different partitions.

The first order of an LPAR data processing system is to maintain strict isolation between partitions. However, there may be cases in which it may be advantageous for cooperating operating systems to be able to share certain platform resources. One example, without limitation, may be when one owning partition provides direct access to a portion of its I/O adapters for use by one of its client partitions, also known as type-1 virtualization of an I/O adapter. Other examples may include the ability to rapidly communicate among the team of operating systems. This is similar to members of a team of employees who can share access to a common database of sensitive information. In such cases, there are mechanisms put in place to manage access to the database, to ensure that only authorized employees are granted access and that this access can be revoked, should the employee change assignments, be terminated, or should the database owner realize that information is invalid and all use of it must cease. In order for logical resources to be shared in an LPAR system, a set of sharing mechanism must be established.

Accessing data in a computer is simple. In fact, the primary job of an LPAR system is to restrict such access. The LPAR management firmware, also known as a hypervisor, maintains a list of all resources that a given partition may access, and when the operating system attempts to gain access to a new resource, the list is

Docket No. AUS920031060US1

referenced to decide if the access should be granted. Normally, the hypervisor ensures that the resource lists of each partition are disjoint. By allowing a given resource to appear in the resource list of two or more partitions, that resource may be shared.

What is more difficult, and the subject of the present invention, is managing the changes to the resource lists in such a way that the sharing operating systems can handle the various transitions in a graceful manner. In accordance with a preferred embodiment of the present invention, the hypervisor is modified to include four hypervisor functions plus a specific return code to manage the granting of access of resources owned by one partition to another (client) partition, accepting of granted resources by client partitions, returning of granted resources by client partitions, and rescinding of access by the owning partition. These four hypervisor functions are invoked either explicitly by the owning and client partitions or automatically by the hypervisor in response to partition termination. The hypervisor functions provide the needed infrastructure to manage the sharing of logical resources among partitions.

Access to resources is transitioned to and from clients in an orderly fashion, and should either the owning (server) or sharing (client) partition fail, the resources are recovered. The resources should never be lost or "leaked." And to allow the remaining partition of the server/client pair to continue operating, all other hypervisor calls that take as a parameter a logical address of a potentially sharable logical resource,

return a unique code in the event that access to the resource has been rescinded. This return code allows the client operating system to restrict the effect of the failure to only the users of the specific resource.

Figures 3A-3C illustrate shared logical resource state transitions in accordance with a preferred embodiment of the present invention. **Figure 3A** represents the state transitions in the access rights of a given logical resource in a server partition. A logical resource may include, without limitation, a processor, a region of main storage, an I/O adapter register, a platform interrupt, and the like. **Figure 3B** depicts the operations that affect resource sharing between a server partition and a client partition. **Figure 3C** represents the state transitions in the access rights of a given logical resource in a client partition. A client partition is a partition with which the resource owner is authorized to share resources by its partition definition.

As shown in **Figure 3A**, a resource moves from the "not sharable" state to the "sharable" state in a server partition responsive to a grant operation, shown as 1 in **Figure 3B**. A resource moves from the "sharable" state to the "not sharable" state responsive to a normal or forced rescind, operations 4 and 5, respectively, in **Figure 3B**, or responsive to a server partition failure, operation 6. All unspecified operations are treated as no-operations that do not change the resource's state.

With respect to **Figure 3C**, in a client partition, a resource moves from an "unknown" state to a "granted"

state responsive to a grant operation, shown as operation 1 in **Figure 3B**. The resource moves from a "granted" state back to "unknown" state responsive to a normal or forced rescind, operations **4** and **5**, respectively, in **Figure 3B**, or responsive to a client partition failure, operation **7**.

A resource in a client partition moves from the "granted" state to a "shared" state responsive to an accept operation being performed by the client, operation **2** in **Figure 3B**. The resource moves back to a "granted" state responsive to a return operation being performed by the client partition, operation **3**, and the resource moves from the "shared" state to an "unknown" state responsive to a client partition failure, operation **7**.

A resource in a client partition moves from a "shared" state to a "rescinded" state responsive to a rescind operation by the server partition, operation **5**, or responsive to a server partition failure, operation **6**. A resource moves from the "rescinded" state back to the "unknown" state responsive to a return operation being performed by the client partition, operation **3**, or responsive to a client partition failure, operation **7**.

Owners of resources can grant access to any of its resources to one or more client partitions. Granting access is accomplished by requesting that the hypervisor generate a specific "cookie" for that resource for a specific sharing partition. A cookie is an opaque reference number, which identifies an item, such as a resource in this case. The cookie value generated for a resource is unique only within the context of the

Docket No. AUS920031060US1

partition being granted the resource and is unusable for gaining access to the resource by any other partition. The unique cookie is then communicated to the client partition via some communication channel such as TCP/IP etc. The accepting partition then maps the shared resource into its logical address space.

The owning partition may grant shared access of the same logical resource to several clients by generating separate cookies for each client. During the time the resource is shared, both the owner and the sharing partitions have access to the logical resource, the software running in these partitions use private protocols to synchronize control access. Once the resource has been accepted into the client's logical address space, the resource can be used by the client in any way it wishes, including granting permission to one of its own clients.

When the client no longer needs access to the shared logical resource, it destroys any virtual mappings it may have created for the logical resource and returns the logical resource, thus unmapping it from its logical address space. The client could subsequently accept the logical resource again, given that the cookie is still valid. To complete the termination of sharing, the owner partition rescinds the cookie describing the shared resource. Normally, a rescind operation succeeds only if the client has returned the resource. However, the owner can force the rescind in cases where the server partition suspects that the client is incapable of gracefully returning the resource.

In the case of a forced rescind, the hypervisor
marks the client partition's logical address map location
corresponding to the shared logical resource such that
any future hypervisor call that specifies the logical
address fails with an H_RESCIND return code. The
hypervisor ensures that all the client partition's
translation tables contain no references to a physical
address of the shared logical resource.

Should the server partition fail, the hypervisor may
automatically notify client partitions of the failure
using an event message. In addition, the hypervisor
recovers any outstanding shared logical resources prior
to restarting the server partition. This recovery is
preceded by a minimum of two seconds of delay to allow
the client partitions time to gracefully return the
shared logical resources, then the hypervisor performs
the equivalent of a forced rescind operation on all the
server partition's shared logical resources, thus
ensuring that the resources are in a known sharing state
prior to restarting the server partition.

Figure 4 illustrates an implementation of shared
logical resource management in accordance with an
exemplary embodiment of the present invention. Those of
ordinary skill in the art will recognize that several
possible implementations exist. The example
implementation shown in **Figure 4** is given to clarify the
specified functions.

In this example, the hypervisor maintains a logical-
to-physical translation table, such as table **414**, for
each partition. This table is used to verify the

partition's virtual-to-logical mapping requests. Each logical resource, such as shared logical resource **402**, mapped within the physical-to-logical translation table has associated therewith a logical resource control structure, such as logical resource control structures **416, 418**. The original logical resource control structures **416, 418** describe the standard logical resources allocated to the partition due to the partition's definition, such as one per Logical Memory Block (LMB), etc.

The platform firmware, when creating the Open Firmware device tree for a given partition knows the specific configuration of virtual I/O Adapters (IOAs) with the associated quantity of the various types of logical resources types for each virtual IOA. From that knowledge, the firmware understands the number and type of resources that must be shared between the server and client partitions and, therefore, the number of control structures that will be needed. When owning partition **410** grants access to one of its logical resources to another partition, such as partition **420**, the hypervisor chooses a logical resource control structure to describe this newly granted resource.

In the example shown in **Figure 4**, a server partition **410** includes a base partition control structure **412**, a logical-to-physical map table **414**, and logical resource control structures **416, 418** for the owner of logical resource **402**. The sharing client partition also includes a base partition control structure **422**, a logical-to-physical map table **424**, and a logical resource control

structure for the owner **426**. The hypervisor chooses the logical resource control structure for the sharer **428** and attaches (not shown) this control structure to the grantee's base partition control structure **422**. As stated above, the required number of control structures is allocated when the client virtual IOA is defined. This logical resource control structure is linked to the base logical resource control structure **418** of the resource owner. Subsequently, the grantee's operating system may accept the shared logical resource and map the resource into the grantee's partition logical-to-physical map table **424**.

This same set of operations may subsequently be performed for other partitions, such as partition **430** and partition **440**. The shared resource is always a subset of the original, where the subset may potentially comprise the complete set of the original resource or resources. Once a partition, such as partition **430**, has accepted a resource, it may subsequently grant a subset of that resource to yet another partition, such as partition **440**. The hypervisor creates a logical resource control structure **448** and links it to the logical resource control structure **438** of the granting partition **430**. The logical resource control structure **438** is then linked to the logical resource control structure **428** of partition **420**, which is in turn linked to the owner's logical resource control structure **418**.

For the operating system to return the logical resource represented in control structure **438**, the grant represented by control structure **448** must be rescinded.

This is normally accomplished only after the operating system that is running in partition **440** performs a return operation, either because it has finished using the logical resource or in response to a request from the owner. Such a request is delivered through an inter-partition communications channel. Exceptions to this normal flow happen when either partition terminates, the return operation is performed by the hypervisor, and when a client is non-responsive, the granter performs a forced rescind.

A return operation is much like a logical resource dynamic reconfiguration isolate operation. The hypervisor removes the logical resource from the partition's logical-to-physical map table to prevent new virtual-to-physical mappings of the logical resource and then ensures that no virtual-to-physical mappings of the logical resource are outstanding. This may be accomplished synchronously by checking map counts or the like, or asynchronously prior to the completion of the rescind operation.

Figures 5A-5D are flowcharts illustrating the operation of shared logical resource management in accordance with an exemplary embodiment of the present invention. More particularly, **Figure 5A** illustrates the operation of granting a logical resource by a server partition to a client partition. The process begins and the server partition performs a grant operation specifying a resource to be shared and a client partition to share the resource (step **502**). The hypervisor generates a cookie representing the logical resource to

be shared for the client partition (step **504**). As stated above, a cookie is an opaque reference number, which identifies an item, such as a resource in this case. Next, the hypervisor returns the cookie for the logical resource to the server partition (step **506**). The server partition notifies the client partition of the cookie for the granted resource via inter-partition communications (step **507**). The client partition accepts the logical resource (step **508**) and maps the logical resource to logical address space in the client partition (step **510**). Thereafter, the process ends.

Figure 5B illustrates an example of a logical resource being rescinded normally from a client partition. The process begins and the client partition destroys virtual mappings for the logical resource (step **512**). The client partition then returns the logical resource to the server partition (step **514**). At this point, the client could subsequently accept the logical resource again, given that the cookie is still valid. To complete the termination of sharing, the server partition rescinds the cookie describing the shared resource (step **516**) and the process ends.

Figure 5C illustrates a forced rescind in accordance with an exemplary embodiment of the present invention. The process begins and the server partition performs a forced rescind operation (step **522**). The hypervisor then marks the client's logical address map locations corresponding to the shared logical resource being rescinded (step **524**). Thereafter, the hypervisor ensures that the translation tables of the client partition

contain no references to a physical address of the rescinded shared logical resource (step **526**) and the process ends.

Turning now to **Figure 5D**, a flowchart illustrating the operation of a hypervisor responsive to a server partition failure is shown. The process begins and the hypervisor notifies client partitions of the server partition failure (step **532**). Then, a delay of, for example, two seconds or more, occurs to allow the client partitions to gracefully return the shared logical resources (step **534**). Then, the hypervisor recovers the outstanding shared logical resources (step **536**)by performing the equivalent of a forced rescind operation on all of the failed server partition's outstanding shared logical resources. This ensures that the resources are in a known sharing state prior to restarting the server partitions. Thereafter, hypervisor restarts the server partition (step **538**) and the process ends.

Thus, the present invention solves the disadvantages of the prior art by providing a mechanism for sharing resources among logical partitions in a logical partitioned data processing system and for managing the changes to resources in such a way that the sharing operating systems are able to handle the various transitions in a graceful manner. The present invention provides four hypervisor functions plus a specific return code to manage the granting of access of resources owned by one partition to another partition, accepting of granted resources by partitions, returning of granted

Docket No. AUS920031060US1

resources by partitions, and rescinding of access by the owning partition. These four hypervisor functions are invoked either explicitly by the owning and client partitions or automatically by the hypervisor in response to partition termination. The hypervisor functions provide the needed infrastructure to manage the sharing of logical resources among partitions.

It is important to note that while the present invention has been described in the context of a fully functioning data processing system, those of ordinary skill in the art will appreciate that the processes of the present invention are capable of being distributed in the form of a computer readable medium of instructions and a variety of forms and that the present invention applies equally regardless of the particular type of signal bearing media actually used to carry out the distribution. Examples of computer readable media include recordable-type media, such as a floppy disk, a hard disk drive, a RAM, CD-ROMs, DVD-ROMs, and transmission-type media, such as digital and analog communications links, wired or wireless communications links using transmission forms, such as, for example, radio frequency and light wave transmissions. The computer readable media may take the form of coded formats that are decoded for actual use in a particular data processing system.

The description of the present invention has been presented for purposes of illustration and description, and is not intended to be exhaustive or limited to the invention in the form disclosed. Many modifications and

Docket No. AUS920031060US1

variations will be apparent to those of ordinary skill in
the art. The embodiment was chosen and described in
order to best explain the principles of the invention,
the practical application, and to enable others of
ordinary skill in the art to understand the invention for
various embodiments with various modifications as are
suited to the particular use contemplated.